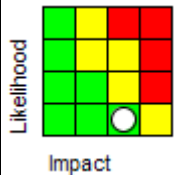
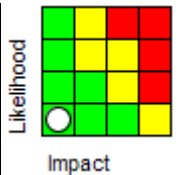
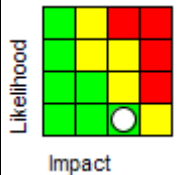
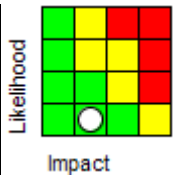




Licensing Risk Register Summary

Generated on: 12 April 2016

Risk Traffic Light: Green 2

Risk No, Title, Department, Risk creation date	Description (Cause, Event, Effect)	Current Risk Score	Risk Owner	Risk update and risk update date	Target Risk Score	Target date	Current Risk score indicator		
MCP-PP 002 Incorrect legal advice Department of Markets and Consumer Protection Creation Date 05-Jan-2016	Cause: Incorrect legal process/advice followed for environmental health (excluding commercial teams) and licensing matters. Event: That a major prosecution case fails with costs not being awarded back to the CoLC/Judicial Review/civil claim associated with adverse publicity in the general and professional / technical media. Effect: Reputational and financial loss.	 Likelihood Impact	4		The following controls are in place: 1. Enforcement Policy in accordance with current legislation and guidance 2. Officers trained in enforcement 3. Pre-decision consultation with C&CS including counsel's opinion if necessary. 4. Legal "fighting fund" established. 05 Jan 2016	 Likelihood Impact	1	29-Apr-2016	↔ No change
MCP-PP 003 Incorrect licensing advice. Department of Markets and Consumer Protection Creation Date 05-Jan-2016	Cause: Incorrect legal process/advice followed for licensing matters. Event: Incorrect suspension of Premises Licence resulting in civil claim for loss of business. Effect: Reputational loss.	 Likelihood Impact	4	Jon Avern	The following controls are in place: 1. Enforcement Policy in accordance with current legislation and guidance 2. Officers trained in enforcement 3. Pre-decision consultation with C&CS including counsel's opinion if necessary. 4. Legal "fighting fund" established. 05 Jan 2016	 Likelihood Impact	2	29-Apr-2016	↔ No change

Departmental Health and Safety Management Structure

